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WITHDRAWAL SHEET

Ronald Reagan Library

Collection Name	MATLOC	K, JACK: FILES			Wit	hdrawer
					CAS	10/27/2009
File Folder	MATLOC	K CHRON 1983	(1)		FOI	A
					M08	-244
Box Number	2				JON	ES
ID Doc Type	Docu	iment Descriptio	n	No of Pages		Restrictions
78478 MEMO	ARMA	RT KIMMITT TO AND HAMMER'S H ING WITH PRESI		1	12/9/1983	
78479 MEMO		OCK TO KIMMIT MER'S REQUEST	T RE ARMAND	1	12/9/1983	B1
	R	10/27/2009	GUIDELINES			
78480 MEMO		OCK/TYRUS COE ERCISE	BB TO OLLIE NORTH	1	2/12/1983	B1
	R	2/18/2011	M244/1			
78481 NSC PROFILE SHEET	COLIN EXER(N POWELL TO PO CISE	INDEXTER RE	1	12/8/1983	B1
	R	5/8/2000	NLSM97-003 #11			
78482 MEMO	POWE R	LL TO NSA RE E2 5/8/2000	XERCISE NLSM97-003 #12	1	12/8/1983	B1
78483 BRIEFING PAPER	RE EX	ERCISE		9	ND	B1
	R	5/8/2000	NLSM97-003 #13			
78484 MEMO	MATL POLIC	OCK TO MCFARI Y	LANE RE SOVIET	1	12/13/1983	B1
	R	12/12/2005	NLRRM03-1478 #1	t		

Freedom of Information Act - [5 U.S.C. 552(b)]

- B-1 National security classified information [(b)(1) of the FOIA] B-2 Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA] B-3 Release would violate a Federal statute [(b)(3) of the FOIA]
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- B-6 Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- B-7 Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- B-8 Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]

B-9 Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

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		M08-244
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ID Doc Type	Document Description	No of Doc Date Restrictions
	-	Pages
78485 CABLE	AMERICAN EMBASSY MOSCOW TO	8 12/10/1983 B1
	SECRETARY OF STATE RE SOVIET POLICY	

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DOCUME NO. AND		DATE	RESTRICTION
letter case (8308888)			
I. memo	from Robert Kimmitt to Fredrick Ryan re: Armand Hammer's request for meeting with President (1p)	12/9/83	P-5
2. memo	from Matlock to Kimmitt re: Armand Hammer's request (1p)	12/9/83	P-1 P-5
letter case (8308936)			
10 3 memo_	from Matlock/Tyrus Cobb to Ollie North re: exercise (1p) R 2/13/11 M08-244#7848	12/12/83	P-1 P-5
W sheet	rofile from C. Powell to Poindexter re. exercise (1p) R 5/8/00 NLSM 97-003 #11	12/8/83	<u>P 1</u>
5. memo	form C. Powell to NSA re: exercise $(1p)$ R = 5/8/00 NLSM97-003 #12 paper re: exercise (9pp) 6	12/8/83	P-1.
letter case	Paper re: exercise (9pp) & R 5/8/00 NLSM91.003 #13		
7memo-	from Matlock to McFarlane re: Soviet policy (1p) R 12/12/05 M03-1478#1	12/13/83	P-1 P-5
COLLECTI	ON: MATLOCK, JAKC F.: Files		dlb
FILE FOLD	DER: Matlock Chron 1983 [1 of 2] OA 90888 Box 2		10/17/95

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P-1 National security classified information [(a)(1) of the PRA].
- P-2 Relating to appointment to Federal office [(a)(2) of the PRA].
- P-3 Release would violate a Federal statute [(a)(3) of the PRA].
- P-4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA].
- P-5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA.
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F-8 Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA].

DOCUMENT NO. AND TYPE	SUBJECT/TITLE	DATE	RESTRICTION
8 cable	from Ambassy Moscow to Sec. of State re: Soviet policy (8pp) R 12/2/05 M03-1476#2	12/10/83	P-1→ P-5
COLLECTION:	MATLOCK, JAKC F.: Files		dlb
FILE FOLDER:	Matlock Chron 1983 [1 of 2] OA 90888 Box Z		10/17/95

RESTRICTION CODES

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- information [(a)(4) of the PRA]. P-5
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4. NSC/S-profile sheet-	from C: Powell to Poindexter re. excreise (1p) R 5/18/00 NLSM 77-003 #11	12/8/83	P
5. memo	form C. Powell to NSA re: exercise (1p) R 5/8/00 NLSM97-003 #12	12/8/83	<u>P-1.</u>
S. briefing paper-	re: exercise (9pp) 4 R 5/8/00 NLSM97.003 #13	n.d.	<u>P-1</u>
letter case (8309039)			
7. mcmo	from Matlock to McFarlane re: Soviet policy (1p) R 12/12/05 M03-1478 #1	12/13/83	P-1 P-5
COLLECTION:	MATLOCK, JAKC F.: Files		dlb
FILE FOLDER:	Matlock Chron 1983 [1 of 2] OA 90888 Box 2		10/17/95

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DOCUMENT NO. AND TYPE	SUBJECT/TITLE	DATE	RESTRICTION
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COLLECTION:	MATLOCK, JAKC F.: Files	1	dlb
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PENDING REVIEW IN ACCORDANCE WITH E.O. 13233

Ronald Reagan Library

Collection Name	MATLOCK, JACK: FILES		Withdra	awer	
			CAS	4/3/2	007
File Folder	MATLOCK CHRON 1983 (1)		FOIA		
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Box Number	2				
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7MEMO	—— MATLOCK TO MCFARLANE RE SOVIET- -POLICY (#1)	1	12/13/1983	mvh	5/19/08
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8-CABLE	AMERICAN EMBASSY IN MOSCOW TO	0	12/10/1983 N	41	5/19/08

MEMORANDUM

8734

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NATIONAL SECURITY COUNCIL

December 2, 1983

MEMORANDUM FOR SALLY KELLEY

2 ROBERT M. KIMMITT FROM:

SUBJECT: Proposed Letter to Mr. Savi

We have reviewed and concur with the Department of State's proposed reply to Mr. Lembit Savi in response to his letter to the President re Baltic Freedom Day Proclamation.

Attachment

MEMORANDUM

Attachment

NATIONAL SECURITY COUNCIL

December 2, 1983

MEMORANDUM FOR SALLY KELLEY

FROM: ROBERT M. KIMMITT

SUBJECT: Proposed Letter to Mr. Savi

We have reviewed and concur with the Department of State's proposed reply to Mr. Lembit Savi in response to his letter to the President re Baltic Freedom Day Proclamation.

8734

NATIONAL SECURITY COUNCIL

December 2, 1983

FOR BOB KIMMITT

I recommend that you sign the attached memo to Sally Kelley.

Walt Raymond concurs.

MATLOCK F. JAÇK

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UNCLASSIFIED (CLASSIFICATIO;;) 8154

DEC 1 1983

DEPARTMENT OF STATE EXECUTIVE SECRETARIAT TRANSMITTAL FORM

S/S 8327561

Date 12/1/83

For: Mr. Robert C. McFarlane National Security Council The White House

Reference:

TO: President Reagan	From: Mr. Lembit Savi
Date: August 25, 1983	Subject: Human Rights

WH Referral Dated: <u>Sept. 12, 1983</u> NSC ID # <u>167394</u> (if any)

____ The attached item was sent directly to the Department of State.

Action Taken:

- XX A draft reply is attached.
- A draft reply will be forwarded.
- A translation is attached.
- _____ An information copy of a direct reply is attached.
- We believe no response is necessary for the reason cited below.
- _____ The Department of State has no objection to the proposed travel.
 - Other.

Remarks.

Charles Hill Executive Secretary

UNCLASSIFIED (Classification) Dear Mr. Savi:

The President has asked me to respond to your kind letter supporting his Baltic Freedom Day proclamation and his July 26 statement.

The President believes that the cause of freedom for the Baltic States is an important interest which deserves the continued full support of the United States. Our strong concern for the human rights and freedoms of the people in Estonia, Latvia and Lithuania has been expressed publicly since the Soviet invasion of those countries in 1940, and we will continue to speak out until those peoples are allowed to determine their own national destiny.

Thank you again for your backing for the President's stand on U.S. Baltic policy and for his efforts to preserve peace and dignity everywhere.

Sincerely yours,

Mr. Lembit Savi, President, Estonian World Council.

8327561

THE WHITE HOUSE OFFICE

REFERRAL

SEPTEMBER 12, 1983

TO: DEPARTMENT OF STATE

ACTION REQUESTED: DRAFT REPLY FOR SIGNATURE OF WHITE HOUSE STAFF MEMBER

DESCRIPTION OF INCOMING:

ID: 167394

MEDIA: LETTER, DATED AUGUST 25, 1983

TO: PRESIDENT REAGAN

FROM: MR. LEMBIT SAVI PRESIDENT ESTONIAN WORLD COUNCIL ESTONIAN HOUSE 243 EAST 34TH STREET NEW YORK NY 10016

SUBJECT: HUMAN RIGHTS

PROMPT ACTION IS ESSENTIAL -- IF REQUIRED ACTION HAS NOT BEEN TAKEN WITHIN 9 WORKING DAYS OF RECEIPT, PLEASE TELEPHONE THE UNDERSIGNED AT 456-7486.

RETURN CORRESPONDENCE, WORKSHEET AND COPY OF RESPONSE (OR DRAFT) TO: AGENCY LIAISON, ROOM 91, THE WHITE HOUSE

> SALLY KELLEY DIRECTOR OF AGENCY LIAISON PRESIDENTIAL CORRESPONDENCE

necd 5/5-I 9/9/83 2045

Kojeli ESTONIAN WORLD COUNCIL

ESTONIAN HOUSE, 243 EAST 34th STREET NEW YORK, N.Y. 10016, USA TELEPHONES: (212) 685-0776, (212) 685-7467

Representing:

Association of Estonians in Great Britain Council of Estonian Societies in Australia Estonian Central Committee in the Federal Republic of Germany Estonian Central Council in Canada Estonian American National Council Estonian National Congress in Sweden Estonian Society in Belgium Estonian Society in The Netherlands Johannesburg Estonian Society in South Africa

August 25, 1983

8327501

167394

The Honorable Ronald Reagan President of the United States of America The White House Washington, D. C.

Mr. President:

On behalf of the Estonian World Council, it is my pleasant duty and honor to extend to you the thanks of the Estonian people and Estonian organizations on the occasion of your recent actions in the cause of human rights.

Specifically, your declaration of the Baltic Freedom Day on June 13 in the White House in the presence of Americans of Estonian, Latvian and Lithuanian descent is an unprecedented show of compassion and support for the cause of freedom for the Baltic people. Secondly, your statement on July 26, on the occasion of the sixtyfirst anniversary of the de jure recognition of Baltic States by the United States, is indeed a moving description of the Baltic holocaust.

Your actions stand out like a bright star in the present dark night which covers Eastern Europe. They will surely fortify and give hope to Estonians in their homeland, that there will be a brighter day for them in their future.

As for Estonian Americans as well as Estonians in the Free World I can state unequivocally that they stand firmly behind you in your efforts to preserve peace and human dignity everywhere.

.Respectfully yours,

Timbort Sair

Lembit Savi President Estonian World Council

EXECUTIVE BOARD

Lembit Savi President

Aleks Kurgvel Vice President

Harald Raudsepp Vice Presiden

Eduard Vallaste Secretery General

Juta Ristsoo Secretary

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EUROPEAN BUREAU

Ant Luik Director



• •

P' P



L. Savi ESTONIAN WORLD COUNCIL. ESTONIAN HOUSE 243 EAST 34TH STREET NEW YORK, N.Y. 10016

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The Monorable Ronald Reagan President of the United States of America The White House Washington, D. C. 20025

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MATLOCK

THE WHITE HOUSE

WASHINGTON

December 3, 1983

Dear Dick:

It was very thoughtful of you to send me a copy of your testimony on November 16 before the Senate Foreign Relations Committee. I appreciate your vigorous support of our approach to dealing with the Soviets. I believe that we are now dealing from sufficient strength that, when the Soviets have sorted out their leadership situation, we can hope to see some concrete results.

With warm pegards, r Marry Routh

Sincerely,

Robert C. McFarlane

Mr. Richard V. Allen 905 16th Street, N.W. Suite 303 Washington, D. C. 20006 MEMORANDUM

NATIONAL SECURITY COUNCIL

November 21, 1983

ACTION

MEMORANDUM FOR ROBERT C. McFARLANE SIGNED FROM: JACK MATLOCESE W SUBJECT: Congressional Testimony by Richard V. Allen

Richard Allen has sent you a copy of his testimony on U.S.-Soviet relations, which he delivered before the Senate Foreign Relations Committee on November 16 (Tab II). It is generally very supportive of our current policies.

I recommend that you send him a note of acknowledgement.

RECOMMENDATION:

That you send the letter at Tab I to Richard Allen.

Approve

Disapprove_

Attachments:

Tab	I	Mr.	A11	.en '	s Te	estimony
Tab	II	Lett	er	to	Mr.	Allen

Horember 15, 198] RICHARD V. ALLEN An put -WASHINGTON, D.C. NAV 1 6 1983 Jen may find Jostrow of Here hereing aiteresting. Bert repails. Auch 7.5. Percy Celled Here worknass: An Julius frage. Julius Adm. Zoel Geyler, and yours frage.

TESTIMONY BY RICHARD V. ALLEN

DISTINGUISHED FELLOW AND CHAIRMAN, ASIAN STUDIES CENTER, THE HERITAGE FOUNDATION

AND

SENIOR COUNSELLOR FOR FOREIGN POLICY AND NATIONAL SECURITY AFFAIRS, THE REPUBLICAN NATIONAL COMMITTEE

BEFORE THE SENATE COMMITTEE ON FOREIGN RELATIONS

NOVEMBER 16, 1983

"U.S.-Soviet Relations"

Mr. Chairman, Members of the Committee:

It is indeed a pleasure for me to appear once again before this Committee to discuss a subject central to our contemporary foreign policy concerns. United States-Soviet relations, as the former Chairman of this Committee had often said, have been subject to myths and realities. This is not to suggest that Chairman Fulbright and I agree on which are myths and which are realities, but I'm here to give you my views on what I consider to be the myths and realities currently affecting U.S.-Soviet relations, and my perception of the future course of those relations.

Just five months ago, Mr. Chairman, Secretary of State George Shultz appeared before this Committee to present a definitive statement of the Administration's policy toward the Soviet Union. In my opinion, his analysis remains the most lucid and important I have heard in many years, and I consider it to be a landmark document. It has received far too little attention, especially in the media, and deserves the most serious study and reflection.

I am sure that you and your colleagues on this Committee, along with all Senators, can agree that the fate of the world depends in large measure on the outcome of the relationship between the U.S. and the Soviet Union. At the moment, that relationship is very delicate.

The purpose of this hearing is, clearly, to help shed some light on the relationship, to examine the performance of the Reagan-Bush Administration in its conduct toward the Soviet Union, and to assess the Soviet response to the Administration's policies and attitudes.

This is an important task, because the Senate plays an important role in our foreign and national security policies. Without the understanding and active support of the Congress, any policy will ultimately fail. Above all else, we urgently need a long-term policy toward the Soviet Union that is sustained by a broad bipartisan consensus on its fundamental tenets.

I think it is a myth to assume that U.S.-Soviet relations can be governed by a "personal chemistry" between whoever is in charge of the Soviet Presidium and the President of the United States. There are many who believe that summitry can resolve fundamental differences between our nations. In my view, it matters not so much <u>who</u> is in charge of the Soviet Union and what his particular musical or cultural tastes might be, but whether the Soviet leadership, which means essentially the leadership of the Party, will persist in a course of expansionism

-- or, better put, imperialism -- to exercise the use of force not to protect human freedoms, but to usurp and destroy them.

I believe the Soviet Union is committed to an essentially aggressive course in virtually every part of the world. Witness, for example, the military buildup in the Western Pacific; witness the activities of various communist parties throughout the Middle East and the Western Hemisphere which perpetrate terrorist activities to destabilize regimes friendly, or at least not openly hostile, to the West. They know full well that terrorism breeds a reaction that yields an ugly scene on American television, and that such spectacles can erode public support for strong and effective policies.

Too often we have concentrated on the symptoms of U.S.-Soviet relations without looking at the root causes of our differences. We have ignored the lessons of history and have made assumptions in dealing with the Soviet Union which have proven to be without foundation.

The policy of "detente" (as distinguished from the <u>tactic</u> of detente) theory, for example, appeared to be based on the assumption that we could build through economic, trade and credits a web of relationships with the Soviet Union which would somehow make them less aggressive, less dangerous, dependent upon and essentially respectful of our United States vital interests around the world.

For more than a decade successive Administrations declared that the policy of detente could not be "divisible;" that the Soviets could not expect to receive the benefits of that policy -- expanded trade credits, a more or less steady flow of

technology designed to bolster the efficiency and productivity of the stagnant and archaic Soviet economy -- and at the same time continue attempting to undermine and intimidate non-communist governments. This applied especially to the underdeveloped world, where the traces of direct Soviet involvement were less visible, and where subversion has typically been carried on by surrogates, often under the banner of "national liberation movements."

Instead of that moderating impact, detente was accompanied by: the largest buildup of arms in the history of the world on the part of the Soviet Union; the unbridled use of terrorism as a destablizing force throughout the world; assassinations of Americans, including our Ambassador in Afghanistan preceding a brutal invasion of that country by Soviet military forces; massive genocidal military actions in Southeast Asia against the Cambodian and Laotian people as well as the Vietnamese; the buildup of Soviet missiles in Western Europe and in the Western Pacific. This harvest was precisely the opposite responses which our detente policies were supposed to achieve, and hence it is fair to say that, as a policy, detente was an abysmal failure.

It is ironic that a system that is such a blatant failure because it denies basic human freedoms, that cannot feed its own people, has succeeded, through the use of military force and violent terrorist acts, in destroying the freedoms of millions throughout the world.

These were the realities which led President Reagan to reverse the weakening of American forces. He is not, as some

political cartoonists would have us believe, a gunslinging Western cowboy; he is a thoughtful man who believes that the only thing the Soviet leadership respects is strength. I firmly believe that he is right and that historians will record this period, though dangerous to be sure, as one in which the Soviet Union's ability to impose its will on other nations has been ended.

Another myth in U.S.-Soviet relationships is that famous China Card policy which was played by Presidents Nixon and Carter. There are, to be sure, deep divisions between the People's Republic of China and the Soviet Union. These divisions are much deeper even than differences over the purity of communist ideology; they extend to the very nature of the peoples and the historical attitudes of the Chinese towards foreigners by whom they had been dominated for centuries.

Although these divisions are real, the United States must be extremely careful in attempting to exploit them. Chinese leadership as well as Soviet leadership is composed of aged men, men who will not be around for many years.

In every totalitarian or authoritarian system there is always a succession problem. It often happens that the pendulum will swing from the "hardliners" to the "capitalists." The Chinese appeared to be headed in a "capitalist" direction at the moment. However, the Russians were in the same mold in the 1920s when they embarked on their New Economic Policy. That era was abruptly ended by the rise to power of Mr. Stalin and the subsequent massacre of millions of Russian people.

Who is to say the Chinese leadership after Deng Xiao Ping will not revert to the hardline policies pursued by Mao and the so-called Gang of Four? Who is to say that Chinese leadership will not attempt a rapprochement with the Soviet leadership in 1985? Does it make sense, therefore, to provide the People's Republic of China with military technologies which could be used in the future, not against the Soviet Union but against our friends in the Western Pacific?

Wouldn't it be ironic if American weapons were used against South Korea, for example, after we lost 55,000 American lives to defend South Korea against the North? Wouldn't it be a sad situation if this country, which prides itself on the defense of freedom at home and the exercise of an alliance system aimed at defending freedom abroad, were to sell out, for the sake of an ephemeral China card, the freedom of eighteen million people in the Republic of China on Taiwan?

Mr. Chairman, I believe the Chinese, like the Russians, respect strength. It was this committee which strengthened -indeed, fundamentally changed -- the Taiwan Relations Act when it was submitted in draft form by President Carter. This country is now committed to providing Taiwan with <u>defensive</u> capability against the mainland. Did that rupture our relationship with the People's Republic? The answer is no. That relationship is based on mutual self-interest, not on capitulating to their demands.

Mr. Chairman, I believe there were a number of significant turning points in our relationship with the Soviet Union in the post-war era. Some of these were the result of agreements reached with the Soviet Union to divide Germany, Korea

and, in effect, to permit the Soviet Union to use the threat of force in Eastern Europe to subjugate the peoples of those nations. Many of these current day crises can be traced to the following events: (1) the Berlin blockade and airlift; (2) the takeover of the Suez Canal by Nasser and the subsequent rejection by the United States of British-French efforts to maintain control; (3) the introduction of Marxism into the Western Hemisphere by the Cuban revolution and the subsequent failure of the United States to envoke the Monroe Doctrine effectively when it became obvious that Cuba was a client state of the Soviet Union; (4) the prolonged war in Vietnam which lacked definition of purpose and eventually lost the support of the American people; (5) the recognition of the People's Republic of China as the sole representative of all China; (6) thefailure of the United States to support its friend the Shah of Iran which led to the Khomeini regime; and finally (7) the hasty decision to get Israel out of Lebanon without getting Syria and the PLO out of Lebanon, which has contributed to the situation of near-chaos in that war-torn country.

Rather than discuss each of these turning points in detail, I would like to focus on two or three of them. First, Soviet ambitions in the Western Hemisphere: I believe the Soviet Union has two objectives in the Western Hemisphere.

The first is control of the Panama Canal, which is vital to United States security and that of Western Europe. The second is the neutralization and possibly the Marxist "liberation" of Mexico. A word about Panama: Americans tend to underestimate the strategic importance of the Panama Canal.

Few recognize that the Panama Canal has been the sea link between the United States and Western Europe and between the United States and Japan. More than half of the supplies the United States sent to Western Europe in World War II passed through the Canal. Our naval ships regularly use the Canal even from going from San Diego to the Gulf of Mexico. If the Canal is either put out of use by terrorist activity such as blowing up the locks or is taken over by unfriendly forces in Central America, the United States would face a very serious strategic problem. I don't believe the Soviet Union is interested in Nicaragua or El Salvador as an ultimate objective as much as I see their interest in the control of that vital gateway between the oceans.

Second, I see their interest in Mexico with its 80 million people contiguous to the United States. Mexico is a tinderbox, ripe for radical Marxist penetration. It is a oneparty state with an incredible amount of poverty and a massive external debt of \$80 billion. Mexico's history of revolution should make us cautious about its ability to turn from a free nation, although not pluralistically democratic in our political standards, to a state dominated by radical revolutionary ideology, perhaps of the Marxist variety.

The intervention, invasion or rescue, however you want to designate it, in Grenada by the U.S. and Caribbean nations may have set back the plans of the Soviet Union in the Western Hemisphere. We would be naive if we assumed that these plans were dealt a body blow by the "liberation" of Grenada from the Marxist direction.

Therefore, viewing the continued struggle in Central America, I believe the Congress should support the President in providing assistance to those forces of freedom that are trying to restore the original aims of the Sandinista revolution. I think it is the height of folly to withdraw support from the socalled "Contra" groups in the region, those who are actively opposing Soviet and Cuban surrogates, while voting to spend billions of dollars on missiles that hopefully will never be used. The battle for freedom is not only in maintaining a strategic balance, but it's in supporting those forces of freedom who wish to see true democracy in their own homeland.

Now, let me turn to the Western Pacific. The buildup of Soviet forces on Sakhalin Island, including missile forces as well as air and naval bases, is very dangerous. The Soviet Union has made every effort to intimidate Japan from assuming a legitimate role for defending itself in its own sealanes. Moreover, the Soviet Union, which has pervasive influence over the tyrannical regime in North Korea, must have been aware of the effort by the North Koreans to assassinate the South Korean political leadership. We will not speculate on their motives in shooting down an unarmed Korean civilian airliner.

Communists leaders -- whether in Moscow, Peking, Pyong Yang or Hanoi -- are all quite familiar with the contrast between the stultifying impact of their own closed systems and the dynamic economies of free societies. These leaders are therefore committed to maintaining their insulation against outside forces of any nature and at the same time exploiting the very pluralism

and openness which characterizes the successful systems on our side.

Thus, the security of the Western Pacific has more than a strictly military dimension. Yet, given the circumstances of the region and the Soviet buildup of forces, there will be no substitute for <u>collective security</u> in the Western Pacific. That security should be in the form of a security community united by common perceptions of shared vital interests. Japan in particular must play a far greater strategic role, especially in maritime defense.

The only realistic way for this to be accomplished today, given Japanese domestic politics and the politics of the Pacific, is for Tokyo's role to evolve and be exercised within an informal concert of friendly, non-Communist countries in and near the region.

As with German rearmament in the 1950s, such defense growth must be disciplined by the constraints of a comprehensive, albeit informal, security system in which strategic planning is dedicated to the security of all members. Although today there is no prospect that Japan might again become a rogue elephant in the region, the Japanese people and the world both need assurance that Japan though strategically active is constrained by mutual obligations.

The community of the Western Pacific must include Australia, New Zealand, Indonesia, the Philippines, Singapore, Malaysia, Taiwan, Japan and South Korea. Their chief mission and principal challenge would be to protect the tranquility of the Western Pacific and to cope with the spread of Soviet power and

the danger such power poses to the vital maritime lanes in the region. These kinds of security agreements must emerge organically rather than be proclaimed from Washington. The days when U.S. Secretaries of State could make momentous announcements and foreign embassies and ministers would respond are over.

Finally Mr. Chairman, a few words about an area which has dominated U.S. post-war policy: the Atlantic Alliance. Despite the so-called neutralist movement in Western Europe, which we see on the nightly news in the form of demonstrations against the deployment of missiles in Britain and the Federal Republic of Germany, the fact is that the elections which have taken place in Western Europe over the past several years have indicated a rejection of unilateral disarmament, peace at any price, and a neutralism of the region.

There's no question that a principal aim of Soviet policy is to split the Western alliance, to divide the United States from Western Europe. What the Soviet leadership must be brought to understand is that their efforts at intimidation will fail. If they are serious about disarmament, there is no greater will than that in this country for a verifiable, balanced reduction, indeed elimination, of strategic weapons in Western Europe. But as long as they continue their deployment of modernized missiles in Western Europe and expect the United States and its allies to refrain from seeking even parity in this strategic area, given the fact that in the conventional area, Western forces are badly outnumbered by Soviet controlled forces, their buildup will certainly continue.

While I would have preferred, obviously, as would you, to see the Soviet Union agree to dismantle its SS20s in return for no deployment of American Pershing or Cruise missiles, apparently this is not to be. There is not even the likely prospect that the Soviets would agree to essential parity at lower levels with the allied forces.

Thus, we are in the unhappy situation of having to implement the second track of the so-called two-track policy because the first track did not work. But I don't see any alternative. We should remember in this connection, however, that the two-track policy was not Ronald Reagan's creation, it was none other than Helmut Schmidt's, the leader of the Social Democratic Party in the Federal Republic.

I believe that once the Soviet Union is convinced that the demonstrations have not broken the will of the West, that the leadership of the Western Alliance is as firm as ever and trying to redress the imbalances that resulted from the Soviet buildup in the late '60s and throughout the '70s, it will come to the bargaining table and negotiate the kind of agreement which this committee will approve and recommend to the Senate for its approval.

The Reagan Administration has embarked on a long-term program of rearmament which, in my judgement, is correct, prudent and necessary. It has done so because of the clear determination of the Soviet Union to continue to fund, develop and deploy the most awesome arsenal of modern weapons of mass destruction known to history.

Unchallenged, this relentless buildup will continue to threaten world peace, and will certainly continue to exert pyschological pressure upon our allies, especially those in Europe.

I take it that we are not here to argue the specific merits of the Reagan Administration's long-term defense program, although some will insist that it is this very defense program that represents a "destabilizing force" in the relationship between the two countries, and that if we would only show restraint on our side, the incentive for the Soviet Union to continue investing a disproportionate share of its very scarce resources in weapons would accordingly diminish. I do not share this point of view, since I think that Soviet planners make their resource allocation decisions based on their own perception of Soviet national interest. While they must certainly take into account our own actions, we have, at best, a highly limited capacity to influence these choices.

On the other hand, I believe that we can and do influence, in a direct and measurable way, Soviet policy choices.

The Reagan Administration came to office determined to put our relationship with the Soviet Union on a different footing, one that more accurately reflects the reality with which we must deal in the 1980s. Many consider view of the President and some of his advisors to be unnecessarily harsh, and some have characterized it as simplistic. One observer, himself a member of the preceding Administration, recently opined that "the public rhetoric of Mr. Reagan and his team and their private

conversations all point to a deeply held belief that the world is in a fight between the good guys and the bad guys. They do not seem to see the difference between compromising with an adversary and bargaining with the devil. All adversaries are devils. In their hearts, they simply do not want to make a deal with Communists and radicals, all of whom they believe cannot be trusted to keep a deal. The only way to deal with the devil is to keep your distance. The only recourse with a bad guy is to beat him to the draw."

This caricature of the policy inclinations and the basic beliefs of the President and his advisors offers little in the way of serious analysis, let alone guidance for an understanding of the way in which fundamental policy choices are presently made.

The Administration came to office with a mandate to rebuild our military forces, and with the conviction that to retain the credibility of our deterrent capability we would necessarily have to proceed with the development and deployment of weapons systems that had been deferred or scrapped by previous Administrations. To delay any longer the recovery program for our armed forces, the President reasoned, would be to jeopardize our safety and to cause further erosion of our alliances.

A point of contention in the Reagan program was its rejection of the SALT II Treaty as negotiated by the Carter Administration. During the campaign of 1980, Mr. Reagan had decisively rejected that instrument because he had concluded --along with the majority of the then Democratic-controlled Senate Armed Services Committee -- that the treaty did not serve the

national security interests of the United States.

He repeatedly pledged to negotiate arms <u>reduction</u> agreements with the Soviet Union, but promised to seek agreements that would be balanced, equitable and, most important, verifiable. In the meantime, the Administration agreed to observe the terms of SALT II.

The Administration has done all this, although it has been forced to face a barrage of criticism from the press and from other quarters, much of it alleging that the Administration's negotiating position is unnecessarily lopsided and unrealistic, and does not take into account legitimate Soviet interests.

Still another variant of criticism is the one I heard just a few days ago in Taipei, where former British Prime Minister Edward Heath declared that "It is perfectly obvious that President Reagan does not want an Arms agreement with the Russians."

The fact of the matter is that President Reagan does want an agreement, and believes that he can get one on terms that are both acceptable to the Senate and which will truly serve the security interests of the United States. Such an agreement would necessarily take into consideration the basic security requirements of the Soviet Union, else why would there be an incentive to the Soviets to conclude such an agreement in the first place?

On both the intermediate and strategic fronts, continued negotiations with the Soviet Union are both necessary and

desirable. Effective, safe, verifiable arms reduction agreements are a prerequisite for safety in the nuclear age. Yet, to grasp for an agreement that does not meet the criteria set down by the President would be a profoundly dangerous mistake.

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National Security Council The White House

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NATIONAL SECURITY COUNCIL

December 9, 1983

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MEMORANDUM FOR FREDERICK J. RYAN, JR. FROM: ROBERT M. KIMMITT

SUBJECT: Armand Hammer's Request for Meeting with President

I recommend that Hammer be informed that the President's schedule unfortunately does not permit a meeting.

### MEMORANDUM

### NATIONAL SECURITY COUNCIL

ONFIDENTIAL

December 9, 1983

8888

MEMORANDUM FOR ROBERT KIMMITT

FROM:

JACK MATLOCH

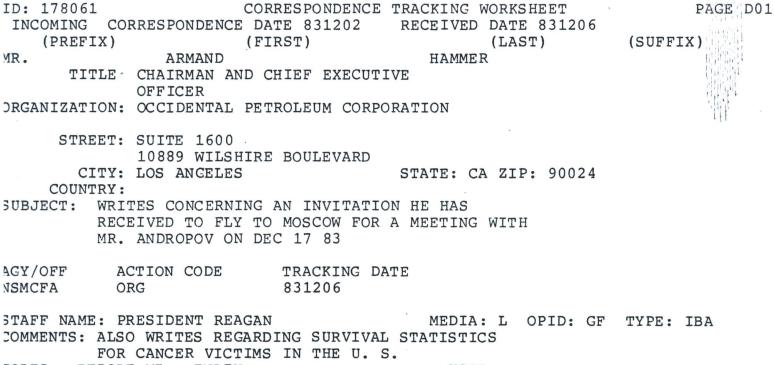
SUBJECT:

Armand Hammer's Request for Meeting with President

Armand Hammer, President of Occidental Petroleum, wrote the President December 2 requesting an appointment before his trip to Moscow December 17, when he has been informed that he will see Andropov.

Although Hammer requests the meeting formally to report to the President on his work as Chairman of the Cancer Panel, it is clear that his real motivation is to see the President before he sees Andropov, and thus appear to be a quasi-official emissary. Given Hammer's propensity for freewheeling, and indeed ingratiating himself with the Soviet authorities in ways that are frequently distasteful (to say the least), I believe it would be unwise to give him any peg on which to pretend that he is speaking for the President in his conversations with the Soviets. Therefore, I recommend that he be informed that the President's schedule unfortunately does not permit a meeting.

CONFIDENTIAL Declassify on: OADR DECLASSIFIED Sec.3.4(b), E.O. 12958, as amended White House Guidelines, Sept. 11, 2006 BY NARA DATE 10 27/09



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# OCCIDENTAL PETROLEUM CORPORATION

10889 WILSHIRE BOULEVARD • SUITE 1600 LOS ANGELES, CALIFORNIA 90024 (213) 879-1700 • (213) 208-8800

CHAIRMAN AND CHAIRMAN AND CHIEF EXECUTIVE OFFICER

December 2, 1983

The President The White House Washington, DC 20500

I have just returned from an interesting halfhour conversation with Under Secretary Eagleburger, which I hope he will report to you and Secretary Shultz, since it relates to the invitation I have received to fly to Moscow on December 17 where I was told I will have an appointment to see Mr. Andropov.

You have bestowed the greatest honor of my life by selecting me as Chairman of your Cancer Panel, and in the past two years I have devoted a great deal of time to it, working closely with the National Cancer Institute and other scientists throughout the country.

Thursday morning I chaired a meeting of the President's Cancer Panel during which we received reports that the relative survival statistics for cancer victims in the United States have now reached 50%. This is a great advance over previous years.

I have reported to Dr. George Keyworth, with whom I am periodically in contact, and I enjoy working with him. However, as Chairman of the Panel I hope I can report to you personally, though briefly, on these major developments.

You can take great pride that it is under your Administration that great advances are being made with a disease which strikes one out of every four Americans and adds almost a million new cases every year. I am certain that our people would be glad to hear more from you personally about what is being done to seek a significant cure and the efforts of your Administration. After all, it is under your Administration that the budget of the Cancer Institute first reached one billion dollars a year -

It is my hope that while personally reporting to you about our achievements in cancer, I can, at the same time, provide some insights on my promised meeting with Andropov and share with you some ideas I have which may be helpful to our troubled world.

Please convey the warmest regards of Frances and myself to Nancy. I thought she looked joyously beautiful at the Shilo Church ceremony last night, and we were happy to see her looking so well.

Respectfully,

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a great achievement.

MEMORANDUM

### NATIONAL SECURITY COUNCIL

SECRET

December 12, 1983

MEMORANDUM FOR OLLIE NORTH

FROM:

JACK MATLOCK TYRUS COBB

SUBJECT: Exercise Night Train 84

We are concerned with the political implications of this exercize, and believe that they should be carefully weighed before approval is given.

In particular, the scenario itself -- if it should leak (and we must assume that it will) -- will be used by critics of the Administration both here and elsewhere in the world as evidence that we are planning for a nuclear war. This could serioulsy undercut the President's image as a peacemaker and increase apprehension in Europe, where we still face a rocky road to keep to the INF deployment schedule on track.

If the exercise is essential, could the purposes be served with a scenario which culminates, not in a nuclear exchange, but in a peaceful solution following an alert?

<u>SECRET</u> Declassify on: OADR

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# OFFICE OF THE SECRETARY OF DEFENSE

WASHINGTON, D.C. 20301

8 DEC 1983

MEMORANDUM FOR DEPUTY ASSISTANT TO THE PRESIDENT FOR NATIONAL SECURITY AFFAIRS

SUBJECT: Significant Military Exercise NIGHT TRAIN 84

(U) The attached brief on the subject exercise is forwarded. This exercise has been coordinated with the Department of State.

9 March 1984.

COLIN L. POWELL Major General, USA Military Assistant to the Secretary of Defense

Attachment 1 a/s (3 copies)

Classified by DIRECTOR, J-3 Declassify on 18 AUG 1989 REGRADED CONFIDENTIAL UPON REMOVAL OF ENCLOSURES

DECLASSIFIED NLS <u>M97-003 #12</u>

BY Ont NARA, DATE 5/8/00 SFCRF

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### THE JOINT CHIEFS OF STAFF

# BRIEF OF A SIGNIFICANT MILITARY EXERCISE

1. (U) Exercise Name. NIGHT TRAIN 84.

2. (U) Geographic Area. United States and C nada.

3. (S) Dates. 5-13 April 1985.

4. (8) Type. Worldwide procedural nuclear war command post exercise (CPX).

5. (U) Aims

a. (5) Exercise and evaluate selected procedures for controlling, implementing, and processing nuclear actions.

b. (8) Evaluate effectiveness of installed and deployed command and control equipment during a post nuclear exchange environment.

c. (8) Evaluate military capability to reconstitute and redirect the military forces of the nation after a nuclear attack.

d. (5) Exercise tactical warning/attack assessment.

e. (S) Evaluate the capability of surviving command nodes to provide a residual capability assessment.

f. (8) Evaluate degraded strategic communications connectivity in a non-HEMP environment to include the capability to perform force management and receipt of force report back/strike assessments.

g. (S) Evaluate the National Military Command System (NMCS), including the National Emergency Airborne Command Post (NEACP) and other surviving elements of the NMCS.

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h. (S) Exercise and evaluate space assets and warning capabilities.

i. (S) Evaluate residual threat assessment and national reconnaissance capabilities.

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j. (2) Evaluate logistic and personnel plans, policies and procedures to support military/civil requirements immediately prior to, during, and following a nuclear attack on CONUS.

k. (2) Evaluate DOD procedures in resource claimancy and emergency management of resources.

1. (2) Evaluate telecommunications reconstitution, frequency management, economy, policy, and communication security practices.

m. (C) Evaluate operations security posture of the exercise to include operational and administrative procedures and practices.

n. (C) Evaluate the WIN in support of NCA, NMCS, commanders of unified and specified commands, and the Services, during pre-, trans-, and the post-nuclear attack periods.

o. (2) Evaluate military assistance to civilian authorities and military support of civil defense during the pre-, trans-, and post-nuclear attack periods.

p. (8) Evaluate the capabilities of alternate NMCS Command Centers to direct military forces worldwide and maintain necessary coordination with state and Federal agencies involved in national recovery and reconstitution activities.

q. (#) Exercise portions of appropriate OPLANS.

6. (2) Critical Cancellation Date. 9 March 1984.

7. (U) Critical Approval Date. Not required.

8. (2) Political Implications. Conduct of a worldwide nuclear exercise could show strength of purpose. On the other hand, it could be perceived as showing an intent for use of nuclear weapons. It could have the potential to affect US/USSR strategic arms reduction negotiations or bilateral US/USSR summit preparations should either of these be in progress.

9. (3) Politico-Military Scenario Summary. The scenario will be based upon a simulated crisis situation in which the Soviet Union invades Central Europe and Turkish Thrace. This scenario also depicts threats of conflict in Korea, Iran, and the Caribbean Basin. Execution of non-SIOP options will be required, as well as consideration and possible employment of chamical weapons. A subsequent strategic numbers strike against subsected targets in

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North America will result in US SIOP execution. Integral to this will be the live fly portion of SAC's Exercise GLOBAL SHIELD, live launch of a Navy C3 Poseidon missile, and the live fire portion of NORAD'S Exercise AMALGAM CHIEF all specifically scheduled to coincide with Exercise NIGHT TRAIN 84 (see para 15). Following a 24-hour administrative break, the exercise will continue at a time 16 days into the postattack period. This portion of the exercise assumes that a cease-fire exists and will concentrate on reconstitution and redirection of military forces and civil government, military support to the civil population after a nuclear attack, and FEMA's continuity of government activities.

10. (C) Directing Headquarters. This exercise is being conducted by the Organization of the Joint Chiefs of Staff with a major contribution by FEMA and its Exercise REX 84 A during the post-attack period.

## 11. (U) Participating Commands, Headquarters, and Forces

a. (2) United States

Office of the Secretary of Defense Organization of the Joint Chiefs of Staff United States Army United States Navy United States Air Force United States Marine Corps United States Coast Guard Aerospace Defense Command North American Air Defense Command Atlantic Command US European Command Military Airlift Command Pacific Command US Central Command US Readiness Command US Southern Command Strategic Air Command Military Traffic Management Command Military Sealift Command Joint Task Force Alaska Defense Logistics Agency Defense Intelligence Agency Defense Communications Agency National Security Agency Defense Mapping Agency Defense Nuclear Agency

b. (2) Allied. Canada National Defence Heloguarters (32)

c. (U) Total approximate number of US personnel participating cannot be determined at this time.

# 12. (U) Scope of Anticipated Participation

a. (U) Other Unified and Specified Commands. N/A.

b. (U) Unassigned Forces of the US Military Services. N/A.

c. (U) US Federal Agencies or Departments (affiliated with REX 84 A)

Federal Emergency Management Agency Department of State Department of Commerce Department of Interior Department of Justice Department of Health and Human Services Department of Agriculture Department of Energy Department of Housing and Urban Development Department of Labor Department of Transportation Department of Treasury Federal Reserve System Veteran's Administration General Services Administration National Communications Systems

13. (6) Simulated use of Nuclear Weapons. Escalatory exchanges of thermonuclear weapons are programmed only in the initial phase of the exercise and will culminate in a major strategic nuclear exchange between the USSR and the United States. The second phase of the exercise deals with the aftermath of the nuclear attack and will assume a cease fire condition between the two superpowers.

14. (U) <u>Coordination Effected With Other Unified</u>, <u>Specified</u>, <u>or</u> <u>Service Commands</u>, <u>Government Departments</u>, <u>Agencies</u>, <u>or</u> <u>Representatives thereof</u>. Full coordination has been and will continue to be effected with FEMA effecting coordination with anticipated participating civil agencies (REX 84 A), and JCS effecting coordination with participating military commands and headquarters as well as overall coordination between OJCS, FEMA, and Department of State.

15. (U) Recommended Public Affairs Policy

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a. (S) A passive public information policy is recommended for Exercise NIGHT TRAIN 84 with an initial exercise news release date of 2 April 1984. SAC's Exercise GLOBAL SHIELD will have an active public information policy for its exercise as will NORAD's Exercise AMALGAM CHIEF. The public information policy for these exercises will be promulgated in their significant military exercise briefs. Although GLOBAL SHIELD and AMALGAM CHIEF will be conducted in the same timef-ame as NIGHT TRAIN 84, they are separate and distinct exerci es conducted and controlled by the respective commands.

b. (C) It is requested that OASD(PA) post the following Memorandum for Correspondents regarding Exercise NIGHT TRAIN 84 three days prior to commencement of the Exercise:

> "Exercise NIGHT TRAIN 84, a routine worldwide command post exercise (CPX) will be conducted by the Organization of the Joint Chiefs of Staff between 5 and 13 April 1984. The exercise is part of the JCSsponsored CPX program which is routinely conducted locally and worldwide to test command and control procedures. The scenario for "NIGHT TRAIN 84" is a fictitious series of worldwide events leading to increased tension and conflict. This provides headquarters staffs of the unified and specified commands with the opportunity to test planning and procedures in that fictitious environment.

> A part of the exercise activities will include movement of some exercise participants from their normal headquarters, including the Pentagon, to alternate command posts for a short period. "NIGHT TRAIN 84" does not involve movement of forces.

> The overall objective for the exercise is to test command and control procedures. Another key objective is to view the interaction of civil and military plans in the simulated crisis environment."

c. (U) The following list of questions and answers is provided in anticipation of media queries:

(1) (U) Q. Is this exercise being conducted in response to Soviet provocations?

(U) A. No, the exercise is part of the JCS-sponsored command post exercise program which is routinely conducted locally and worldwide to test command and control procedures.

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(2) (U) Q. When was this exercise scheduled?

(U) A. This exercise was officially scheduled by the Chairman, Joint Chiefs of Staff in 1979 as a result of the exercise scheduling conference held that year.

(3) (U) Q. Was this exercise approved by the President?

(U) A. Interagency approval was obtained, as is routinely done for these exercises.

(4) (U) Q. Will there be any worldwide movement of aircraft or other forces as a result of this exercise?

(U) A. There will be no force movement in NIGHT TRAIN 84.

(5) (U) Q. When will the movement to the Alternate Command Post take place, and how long will the personnel be there?

(U) A. Movement to Alternate Command Posts will take place in reaction to the exercise scenario. Personnel will be at these locations for approximately three days.

(6) (U) Q. How will the movement to Alternate Command Posts be accomplished?

(U) A. The movement will be accomplished by air and ground transport.

(7) (U) Q. Will any field training take place as a result of the exercise?

(U) A. No. The movement of staffs to alternate headquarters is not considered "field training."

(8) (U) Q. What level of participation will the exercise include? Can you provide any names?

(U) A. General and flag officers, and senior department and agency officials may participate, although their identities have not yet been determined.

(9) (U) Q. What do you mean by worldwide participation in the exercises?

(U) A. Headquarters of the unified and specified commands will participate.

(10) (U) Q. Will nuclear command and control procedures be exercised?

(U) A. Details of the exercise are not discussed for security reasons. A broad range of command and control procedures will be exercised.

(11) (U) Q. Will any weapons of any kind be fired or launched? What kind?

(U) A. I can confirm that training firings will be part of the exercise. Due to security considerations, no details are available.

(12) (U) Q. What is the general scenario of the exercise?

(U) A. The scenario is a fictitious series of worldwide events leading to increased tension and conflicts, thus providing staffs with the opportunity to test planning and procedures in the fictitious crisis environment.

(13) (U) Q. What are the specific objectives of the exercise?

(U) A. The overall objective of the exercise is to test comand and control procedures. Another objective is to view the interaction of civil and military plans and policies in the simulated crisis environment.

(14) (U) Q. Approximately how many people and aircraft will participate in the exercise?

(U) A. The role of each activity is different, and real-world requirements vary, but we expect that a few people from every unified and specified command, as well as from several government agencies and departments, will participate.

(15) (U) Q. Are any allies or friendly nations participating in the exercises?

(U) A. Yes, Canada.

(16) (U) Q. Can you provide a list of the participating commands, headquarters, and forces? If not, why?

(U) A. The headquarters elements of the unified and specified commands and Services, as well as that of the OJCS, will participate.

(17) (U) Q. Are any agencies other than military participating in the exercise?

(U) A. Yes.

(18) (U) Q. Can you provide a list of them?

(U) A. Several Government departments and agencies, such as the Departments of State and Defense and the Federal Emergency Management Agency (FEMA), will participate. FEMA can provide the complete list.

(19) (U) Q. When was the last time this exercise or a similar one conducted, which involved worldwide participation?

(U) A. March 1982 was the last exercise in this series.

c. (U) Media queries beyond the scope of the announcement and Q's and A's in subparagraph b and c above will be accepted and forwarded to OASD(PA) for resolution on a case-by-case basis. No media interviews will be granted and photography of CPX activities will not be permitted.

d. (U) No final report of the success (degree thereof) or problem areas will be made available to the public.

16. (U) General policy concerning:

a. (U) Diplomatic information. Not applicable.

c. (2) Security restrictions. Information concerning the continuity of government exercise is sensitive and will be treated accordingly. Requests for information on this portion of the exercise will be routed to FEMA for final determination.

c. (U) Psychological elements. - Not applicable.

17. (2) Assessment of Perception of US and Allied Strategy and Military Capability. The exercise will demonstrate a resolve to test and confirm both military and nonmilitary procedures to be used prior so and after a catastrophic event. Military SECRET -

capabilities and strategy assessments derived from the exercise will remain in classified channels.

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18. (U) Additional Remarks. None.
Prepared by: Colonel G. M. Houser, USA Joint Exercise Division J-3, OJCS Extension 53089

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MEMORANDUM

### NATIONAL SECURITY COUNCIL

CONFIDENTIAL

December 13, 1983

INFORMATION

MEMORANDUM FOR ROBERT C. MCFARLANE

FROM: JACK MATLOCH

SUBJECT: American Academic on Soviet Policy

The telegram from Moscow I mentioned this morning is attached at Tab I. It reports on the observations of an experienced American academic who spent about ten days in discussions with senior Soviet officials, including Boris Ponomarev, candidate member of the Politburo and head of the Central Committee's International Department, and several other Party and Institute officials not often seen by Americans.

Among the source's conclusions were:

--Fear of war seemed to affect the elite as well as the man on the street.

--A degree of paranoia seemed rampant among high officials, and the danger of irrational elements in Soviet decision making seems higher.

--The election next year seems to have become a key determinant in Soviet foreign policy making, with the aim not to permit the President to assume the role of peacemaker.

--There seems to be a growing climate of neo-Stalinism and outright chauvinism on the lower levels of the bureaucracy.

The scholar also was told that Andropov had directed a more activist role in the Middle East, and that Andropov is increasingly seeking to take control over foreign policy and to undermine Gromyko.

Paragraphs 2-11 are the most relevant ones in the long cable.

Attachment:

Tab I Moscow telegram 15409 of December 10, 1983.

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4. THE ACADEMIC'S INTERLOCUTORS ACKNOWLEDGED THAT ANDROPOV HAD UNDERGONE AN OPERATION BUT CLAIMED THAT HE WAS NOW BACK AT WORK ALBEIT ON A SOMEWHAT LIMITED SCHEDULE. THE ACADEMIC GAINED THE IMPRESSION THAT USTINOV HAD STOOD IN FOR THE GENERAL SECRETARY DURING THE LATTER'S ABSENCE; CHERNENKO HAD BEEN COMPLETELY BYPASSED. END SUMMARY.

5. THE ACADEMIC IDENTIFIED THREE MAJOR CURRENTS IN SOVIET THINKING THAT HAD EMERGED SINCE HIS VISIT HERE IN MAY:

-- A GENUINE SENSE OF CONCERN OVER TRENDS ON THE INTER-NATIONAL STAGE AND A FEAR OF WAR THAT SEEMED TO BE SHARED BY SOVIET CITIZENS GENERALLY. THE OFFICIAL LINE ON FOREIGN AFFARIS IS GENERALLLY BELIEVED BY THE POPULACE.

-- A GROWING CLIMATE OF NEO-STALINISM PARTICULARLY EVIDENT AT THE RAION (DISTRICT) LEVEL AND AMONGST THE YOUNGER GENERATION, FED BY STRONG FEELINGS OF PATRIOTISM AND EVEN CHAUVINISM. THERE IS A GROWING SENSE THAT THE SOVIET UNION IS BEING PUSHED AROUND BY THE U.S., AND THAT RESOURCES MUST BE MOBILIZED TO COUNTERACT THIS THREAT, UTILIZING THE ADVANTAGES WHICH A CENTRALIZED ECONOMY POSSESSES IN THIS REGARD. THE COROLLARY TO THIS IS THAT DOMESTIC ECONOMIC CHANGES CANNOT BE AFFORDED AT THIS TIME.

-- A HIGH DEGREE OF <u>PARANOIA AMONG HIGH OFFICIALS</u> WITH WHOM THE ACADEMIC SPOKE, NOT UNLIKE THE ATMOSPHERE OF THIRTY YEARS AGO. SINCE HIS MAY VISIT, THE ACADEMIC HAS NOTICED THAT ATTITUDES HAVE BECOME <u>MORE PERSONAL AND EMOTIONAL</u>, ESPECIALLY WITH RESPECT TO THE U.S., AND HE DID NOT DISCOUNT THE POSSIBILITY OF <u>IRRATIONAL ELEMENTS</u> IN SOVIET DECISION-MAKING. HE CITED THE STRAIGHTFACED CLAIM MADE TO HIM BY ONE OFFICIAL THAT THE KAL FLIGHT HAD BEEN DELIBERATELY STAGED BY THE U.S. -- NOT AS AN INTELLIGENCE FLIGHT--BUT TO PROVOKE THE USSR AND ANTI-SOVIET FEELINGS IN THE WORLD.

6. THE ACADEMIC DECLARED THAT THE STATEMENT RECENTLY MADE BY BRZEZINSKI THAT THE SOVIETS "WOULD CRAWL BACK TO THE NEGOTIATING TABLE" WAS COMPLETELY INCONSISTENT BT

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THE MIDDLE EAST

9. THE ACADEMIC CITED THE MIDDLE EAST AS AN EXAMPLE OF AN AREA WHERE THE LACK OF A COHERENT SOVIET POLICY IS EVIDENT, WHILE SOVIET OFFICIALS HAD WARNED THAT "YOU CAN BE SURE WE WILL REACT" TO ANY U.S. ACTION AGAINST SYRIA, THE SCHOLAR FELT THAT IN FACT HIS INTER-LOCUTORS HAD NO CLEAR IDEA WHAT FORM THIS REACTION WOULD

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TAKE. THERE SEEMED, MOREOVER, TO BE CERTAIN BASIC CONTRADICTIONS IN SOVIET ASSESSMENTS OF THE CURRENT SITUATION IN LEBANON. ON THE ONE HAND, THE ACADEMIC HEARD REPEATED EXPRESSIONS OF CONCERN OVER THE POSSI-BILITY OF A U.S. -SOVIET CLASH OVER LEBANON; ON THE OTHER, THE SOVIETS SEEMED TO DERIVE "SATISFACTION" FROM THE PRESENCE OF U.S. MARINE "HOSTAGES" IN LEBANON, WHICH WAS PERCEIVED AS GENERATING ARAB ENMITY WITH THE U.S. AND, ULTIMATELY, CREATING DOMESTIC POLITICAL PROBLEMS FOR THE PRESIDENT.

10. THE SCHOLAR WAS TOLD THAT ANDROPOV HAD RECENTLY WRITTEN A MEMORANDUM ADDRESSED TO THE CENTRAL COMMITTEE INTERNATIONAL DEPARTMENT IN WHICH HE REPORTEDLY ASSERTED THAT SOVIET POLICY IN THE MIDDLE EAST WAS TOO PASSIVE AND REACTIVE AND DIRECTED THAT THE SOVIET UNION TAKE THE INITIATIVE. WHETHER ANDROPOV HAD IN MIND DIPLOMATIC OR MILITARY ACTIONS WAS UNCLEAR. THE SCHOLAR MAINTAINED THAT, ON A MORE GENERAL PLANE. THE GENERAL SECRETARY WAS INCREASINGLY SEEKING TO TAKE CONTROL OVER FOREIGN

11. THE AMBASSADOR SUGGESTED THREE FACTORS WHICH HE THOUGHT COULD ACCOUNT FOR THE CHANGE IN THE ATMOSPHERE AND ATTITUDES WHICH THE ACADEMIC HAD ENCOUNTERED HERE: THE TURN FOR THE WORSE IN ANDROPOV'S HEALTH, THE KAL INCIDENT, AND THE REALITY OF THE INF DEPLOYMENTS. THE ACADEMIC AGREED WITH THIS ASSESSMENT, LAYING PARTICULAR STRESS ON THE FIRST FACTOR. THERE HAD BEEN A GREAT SENSE OF CONFIDENCE AMONGST HIS INTERLOCUTORS HALF A YEAR AGO, HE SAID, A SENSE OF THE POSSIBILITY OF POSITIVE CHANGE BECAUSE OF THE UNITY FORGED BY A STRONG LEADER. ANDROPOV'S ABSENCE FROM THE SCENE FOR THE

LAST FEW MONTHS HAD PERMITTED DIFFERENCES TO EMERGE AND HAD GENERATED A SENSE OF LEADERLESSNESS. WHETHER ANDROPOV COULD AGAIN ASSERT HIMSELF AS THE STRONG LEADER WHOM ALL BELIEVED WAS NECESSARY AND BEHIND WHOM ALL COULD UNITE WAS FOR THE MOMENT PROBLEMATICAL.

ANDROPOV'S HEALTH

12. THE SCHOLAR'S CONTACTS INDICATED THAT THE GENERAL SECRETARY HAD UNDERGONE AN OPERATION (THE DATE AND NATURE OF WHICH WERE NOT SPECIFIED) AND HAD BEEN BT

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|                        |                                                                                                                                                                                                                                                                                                                                                | N.J.L.A.L                                                                                                                                                                                                                                    | SECTION                                                                                                                                                                                 | N Ø3 OF Ø4                                                                                                                                                                                                                                        | MOSCOW 1                                                                                                                                                                                                                 | 54Ø9                                                                                                  |                                       |
|                        | EXDIS<br>E. O. 12356: D<br>TAGS: PGOV, F<br>SUBJECT: AMER<br>DOME<br>DRECUPERATING A<br>HAD BEEN SUBMI<br>WRITTEN UNDER<br>PERIOD, USTINC<br>CHERNENKO HAD<br>HAD CONTINUED<br>WHEN HE HAD BE<br>(THE PERIOD OF<br>ATTENDED THESE                                                                                                              | REL, ECON<br>ICAN ACAD<br>STIC POLI<br>TFOR AT<br>THIS DAC<br>TTED TO H<br>HIS DIREC<br>V HAD SER<br>BEEN COMP<br>TO MEET W<br>EN UNABLE<br>TIME DUR<br>MEETINGS                                                                             | , PINR,<br>EMIC ON<br>CY<br>LEAST FC<br>HA, HOWE<br>IM AND F<br>TION. E<br>VED AS A<br>LETELY E<br>ITH SENI<br>TO ATTE<br>ING WHIC<br>WAS UNC                                           | SOVIET FOR<br>DUR OR FIVE<br>VER, MAJOR<br>RESOLUTIONS<br>OURING THIS<br>ANDROPOV'S<br>SYPASSED.<br>OR FIGURES<br>ND POLITBU<br>CH ANDROPOV<br>CLEAR.                                                                                             | WEEKS.<br>DECISIO<br>HAD BEE<br>STAND-IN<br>ANDROPOV<br>INDIVID<br>JRO MEETI<br>HAD NOT                                                                                                                                  | WHILE<br>NS<br>ATIVE<br>;<br>UALLY<br>NGS.                                                            |                                       |
| V L C Z V N            | 3. AT ANY RA<br>VAS NOW BACK A<br>IMIT HIS SCHE<br>GENERAL SECRET<br>BREAK FOR A<br>VOULD ACCORD W<br>MOVEMENTS. W<br>OPPOSITION TO                                                                                                                                                                                                            | T WORK AL<br>DULE. TH<br>ARY WORKS<br>MID-DAY R<br>(ITH THE P<br>(EEKENDS W                                                                                                                                                                  | THOUGH U<br>E SCHOLA<br>CURRENT<br>EST. (C<br>ATTERN C                                                                                                                                  | UNDER STRIC<br>AR HAD BEEN<br>LY EIGHT H<br>COMMENT: T<br>DF ANDROPOV                                                                                                                                                                             | T ORDERS<br>TOLD TH<br>DURS A D<br>HIS SCHE<br>S MOTOR                                                                                                                                                                   | TO<br>AT THE<br>AY WITH<br>DULE<br>CADE                                                               |                                       |
| - 10488 SHTFEAHOG: 2P2 | 4. THE SCHOL<br>DIFFERENCES WI<br>NY ELABORATIO<br>FFORTS AT ECO<br>UREAUCRACY AN<br>DISTRICT) LEV<br>ECRETARIES, T<br>NTENSE PATRIO<br>HE COUNTRY SH<br>ROM THE U.S.<br>CONOMIC CHANG<br>NDROPOV'S RET<br>N THE ELIMINA<br>HANGE, BUT TH<br>ENERAL SECRET<br>CLEANING-OUT.<br>AJOR PERSONNE<br>ARTY LEVELS,<br>IGHT BE ANNOU<br>RESS COVERAGE | AR IS CON<br>THIN THE I<br>N) AND TH<br>NOMIC REV<br>D IN MID-I<br>EL. THE S<br>YPICALLY I<br>TSEVEN (<br>OULD BE MO<br>THEY WERE<br>E. "IT W<br>URN TO A I<br>TION OR IS<br>E SCHOLAR<br>ARY'S GOAL<br>"HE BELS<br>L CHANGES<br>AND SAID TH | LEADERSH<br>AT OPPOS<br>IVAL IS<br>LEVEL PA<br>SCHOLAR<br>MEN IN T<br>CHAUVINI<br>DBILIZED<br>E NOT AC<br>AS ANYBO<br>MORE ACT<br>SOLATION<br>FELT SU<br>LEVED TH<br>IN THE<br>IHAT PRO | IP (HE DID<br>ITION TO A<br>CENTERED I<br>RTY ORGANS<br>CHARACTERI<br>HEIR 30'S<br>STSWHO B<br>TO MEET T<br>TO MEET T<br>TO MEET T<br>TIVE SUPPO<br>DY'S GUESS<br>OF THIS O<br>RE THAT ON<br>BE TO UNDE<br>AT THERE W<br>MINISTRIES<br>MOTIONS IN | NOT PRO<br>NDROPOV'S<br>AT THE I<br>ZED THE I<br>ZED THE I<br>AND 4Ø'S,<br>ELIEVED<br>HE CHALLE<br>RTERS OF<br>"WHETHES<br>OULD RESU<br>PPOSITION<br>E OF HIS<br>RTAKE A<br>OULD SOON<br>AND AT L<br>TO THE PO<br>(COMME | VIDE<br>S<br>DNOMIC<br>RAION<br>AS<br>THAT<br>ENGE<br>JLT<br>N TO<br>N BE<br>OWER<br>DUITBURO<br>ENT: |                                       |

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HAS INDEED BEEN SHARPLY CRITICAL OF THE PERFORMANCE OF NUMEROUS DISTRICT LEVEL ORGANIZATIONS. WHILE THE CAM-PAIGN HAS NOW REACHED THE OBLAST LEVEL, DISTRICT LEVEL OFFICIALS ARE STILL BEING SINGLED OUT FOR SHORTCOMINGS.)

15. THE SCHOLAR SAID THAT HE HAD HEARD FROM TWO SOURCES THAT ANDROPOV HAD SENT A HARD-HITTING LETTER TO ALL PARTY ORGANIZATIONS IN OCTOBER THAT DECLARED IN NO UNCERTAIN TERMS THAT THE FATHERLAND WAS IN DANGER AND UNDERSCORED THE NECESSITY OF REVIVING AND REINVIGORATING THE SOVIET ECONOMY. REPORTEDLY ANDROPOV STRESSED THAT HE WAS NOT EXAGGERATING THE EXTERNAL DANGER TO THE NATION, AND HE WARNED THAT THE TIME HAD PASSED WHEN A "FORMALISTIC" APPROACH TOWARD CHANGES MANDATED BY THE CENTER WOULD BE TOLERATED AND THAT THOSE WHO DID NOT SUPPORT THESE CHANGES ASSIDUOUSLY WOULD BE DEALT WITH RUTHLESSLY. (COMMENT: WE HAVE RECENTLY HEARD A SIMILAR REPORT FROM A CHINESE DIPLOMAT, WHO SPECULATED THAT THE INTENT OF THE LETTER HAD BEEN TO PREPARE THE COUNTRY FOR AN INCREASED DEFENSE BURDEN.)

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WHILE SOVIET CONTACTS EVINCED A CONTINUED RECOGNITION THAT MAJOR ECONOMIC CHANGES WERE ESSENTIAL, THE SCHOLAR DETECTED A MARKEDLY DECREASED CONVICTION THAT SUCH CHANGES COULD BE SUCCESSFULLY IMPLEMENTED. THE SCHOLAR HIMSELF WAS PESSIMISTIC THAT CURRENT EFFORTS WOULD MAKE AN APPRECIABLE DENT IN A SYSTEM STILL GOVERNED BY AN UNREAL PRICING MECHANISM AND A LACK OF MEANINGFUL MATERIAL INCENTIVES. THERE SEEMED TO BE NO WAY, HE ASSERTED, OF BREAKING THE VICIOUS PRODUCTIVITY/INCENTIVES CIRCLE. WHILE THE SOON-TO-BE INTRODUCED EXPERIMENT IN INCREASED ENTERPRISE AUTONOMY DID INDEED REPRESENT THE MOST MEANINGFUL STEP TAKEN IN THE SPHERE OF ECONOMIC CHANGE SINCE THE LATE 60'S, IT WAS ULTIMATELY DOOMED TO FAILURE. IT WAS TYPICAL OF PREVIOUS EFFORTS AT ECONOMIC CHANGE IN THAT IT WAS LIMITED IN APPLICATION AND INCREMENTAL IN APPROACH. AS IT STOOD, IT WAS LIKELY TO BE ABSORBED AND THUS SMOTHERED WITHIN THE SYSTEM EVEN IF THE EXPERIMENT PRODUCED POSITIVE RESULTS WITHIN THE FIVE MINISTRIES WHERE IT IS TO GET UNDERWAY NEXT JANUARY. THE SCHOL THE SCHOLAR BT

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EXDIS E.O. 12356: DECL: OADR TAGS: PGOV, PREL, ECON, PINR, UR SUBJECT: AMERICAN ACADEMIC ON SOVIET FOREIGN AND -- DOMESTIC POLICY CITED ONE ECONOMIC OFFICIAL WHO CONCURRED WITH HIS OWN PESSIMISTIC ASSESSMENT OF THE EXPERIMENT'S LIKELIHOOD OF HAVING A MEASURABLE IMPACT ON THE ECONOMY AS A WHOLE.

17. THE ACADEMIC COMMENTED THAT THE MILITARY APPEARS TO HAVE SOMETHING OF AN AMBIVALENT ATTITUDE TOWARDS ECONOMIC CHANGE. ON THE ONE HAND, THEY ARE WORRIED ABOUT THE WEAKNESS OF THE CIVILIAN ECONOMY AND ARE ESPECIALLY CONCERNED ABOUT SCIENTIFIC AND TECHNOLOGICAL PROGRESS. THIS IMPELS THEM TO SUPPORT CHANGE ON A FAIRLY MAJOR SCALE. ON THE OTHER HAND, THE MILITARY RECOGNIZE THAT THE PROCESS OF CHANGE WILL YIELD LITTLE IMMEDIATE BENEFITS FOR THEM AND MAY EVEN CONSTRICT THEIR SHARE OF THE RESOURCES PIE. ONE THING IS CERTAIN--THE MILITARY STRONGLY BACK THE ANDROPOV DRIVE FOR GREATER WORK DISCIPLINE.

18. IN RESPONSE TO A QUERY ON RYZHKOV (WHOM THE SCHOLAR DID NOT SEE ON THIS TRIP), THE SCHOLAR SAID THAT HE REMAINED ACTIVE AS HEAD OF THE CC'S ECONOMICS DEPARTMENT, WHICH WAS CONCENTRATING ON THE STRATEGIC ECONOMIC ISSUES AS DISTINCT FROM DAY-TO-DAY OPERATIONAL ISSUES. THE ACADEMIC REFERRED TO HIS EARLIER UNDERSTANDING THAT THIS NEW DEPARTMENT WOULD SUPERSEDE THE OTHER CC ECONOMIC DEPARTMENTS (REF MOSCOW 5473) AS NO LONGER CURRENT. WHILE THERE WOULD BE NO ACROSS-THE-BOARD ABOLITION OF THE OTHER ECONOMIC DEPARTMENTS OF THE CC AS HE REPORTED IN MAY, SEVERAL OF THEM MAY BE FUSED. IN ANY EVENT, THE ECONOMICS DEPARTMENT HEADED BY RYZHKOV IS CLEARLY IN CHARGE OF LONG-TERM STRATEGIC THINKING ON THE ECONOMY.

19. THE SCHOLAR HAD HEARD LAST MAY THAT A NEW STAFF WOULD BE CREATED FOR THE DEFENSE COUNCIL - IT WOULD BE PART OF AN NSC STAFF COMPOSED OF MILITARY OFFICERS IN MUFTI AND CIVILIANS. THE IDEA WOULD BE TO GIVE ANDROPOV A STRONGER STAFF. ON THIS TRIP THE ACADEMIC HAS HEARD THAT THIS STAFF EXISTS BUT IS NOT PLAYING A MAJOR ROLE -PERHAPS ANOTHER CASUALTY OF ANDROPOV'S ILLNESS. THE SCHOLAR EXPECTS ITS ROLE TO INCREASE, HOWEVER.

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20. THE ACADEMIC'S INTERLOCUTORS HAVE INCLUDED POLITBURD CANDIDATE MEMBER PONOMAREV, CC INTERNATIONAL DEPARTMENT DEPUTY CHIEF ZAGLADIN, IMEMO DIRECTOR YAKOVLEV, IUSAC DIRECTOR ARBATOV, IEWSS DIRECTOR BOGOMOLOV AND OTHER OFFICIALS IN ECONOMIC ORGANS AND INSTITUTES. HARTMAN BT

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